



Institut Mines-Télécom

Wavelet-based image compression

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Multimedia Compression - MN907



Introduction

Discrete wavelet transform and multiresolution analysis Filter banks and DWT Multiresolution analysis

Images compression with wavelets EZW JPEG 2000



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Introduction

Discrete wavelet transform and multiresolution analysis

Images compression with wavelets



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Signal analysis

Signal analysis: similitude to "atoms" φ_k(t) or φ_k[t] (if time is discretized)

Similitude: scalar product

$$c[k] = \langle x[t], \phi_k[t] \rangle$$

- Projection over a set of signals
- Basis change
- Linear Transform



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Wavelets and images : Motivations

Image model : trends + anomalies





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Wavelets and images : Motivations

Image model : trends + anomalies





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Wavelets and images : Motivations

Image model : trends + anomalies





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Introduction

DWT and MRA Images compression with wavelets

Wavelets and images : Motivations

Anomalies :

- Abrupt variations of the signal
- High frequency contributions
- Objects' contours
- Good spatial resolution
- Rough frequency resolution
- Trends :
 - Slow variations of the signal
 - Low frequency contributions
 - Objects' texture
 - Rough spatial resolution
 - Good frequency resolution



Wavelets and images : Motivations

Signal model: an image row





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Wavelets and images : Motivations

Signal model: an image row





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Wavelets and images : Motivations

Signal model: an image row





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Wavelets and Multiple resolution analysis

- Approximation: low resolution version
- "Details": zeros when the signal is polynomial





Outline

Filter banks and DWT Multiresolution analysis

Introduction

Discrete wavelet transform and multiresolution analysis Filter banks and DWT Multiresolution analysis

Images compression with wavelets



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Filter banks and DWT Multiresolution analysis

1D filter banks

Decomposition



Analysis filter bank

2
$$\downarrow$$
 : decimation : $c[k] = \tilde{c}[2k]$



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Filter banks and DWT Multiresolution analysis

Reconstruction



Synthesis filter bank

2 \uparrow : interpolation operator, doubles the sample number

$$\hat{c}[k] = egin{cases} c[k/2] & ext{if } k ext{ is even} \ 0 & ext{if } k ext{ is odd} \end{cases}$$

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Filter banks and DWT Multiresolution analysis



- Perfect reconstruction (PR)
- FIR
- Orthogonality
- Vanishing moments
- Symmetry





Perfect reconstruction conditions

We want PR after synthesis and analysis filter banks : $\forall k \in \mathbb{Z}$,

$$\widetilde{\mathsf{x}}_{k}=\mathsf{x}_{k+\ell}\Longleftrightarrow\widetilde{\mathsf{X}}\left(z
ight)=z^{-\ell}\mathsf{X}\left(z
ight)$$



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Filter banks and DWT Multiresolution analysis

Z-domain relationships

filter
$$\tilde{C}(z) = \sum_{n=-\infty}^{\infty} \tilde{c}_n z^{-n} = H_0(z) X(z)$$

decimation $C(z) = \frac{1}{2} \left[\tilde{C} \left(z^{1/2} \right) + \tilde{C} \left(-z^{1/2} \right) \right]$
interpolation $\hat{C}(z) = C \left(z^2 \right)$
output $\tilde{X}(z) = F_0(z) C \left(z^2 \right) + F_1(z) D \left(z^2 \right)$

$$\widetilde{X}(z) = \frac{1}{2} [F_0(z) H_0(z) + F_1(z) H_1(z)] X(z) + \frac{1}{2} [F_0(z) H_0(-z) + F_1(z) H_1(-z)] X(-z)$$



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Filter banks and DWT Multiresolution analysis

PR conditions in Z

 $\forall k \in \mathbb{Z},$

$$\begin{split} T(z) = & H_0(z) \, F_0(z) + H_1(z) \, F_1(z) = 2z^{-\ell} & \text{Non distortion (ND)} \\ A(z) = & H_0(-z) \, F_0(z) + H_1(-z) \, F_1(z) = 0 & \text{Aliasing cancelation (AC)} \end{split}$$



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Filter banks and DWT Multiresolution analysis

Perfect reconstruction conditions

Matrix form

If the analysis filter bank is given, the synthesis one is determined by:

$$\begin{bmatrix} H_0(z) & H_1(z) \\ H_0(-z) & H_1(-z) \end{bmatrix} \cdot \begin{bmatrix} F_0(z) \\ F_1(z) \end{bmatrix} = \begin{bmatrix} 2z^{-\ell} \\ 0 \end{bmatrix}$$

We need that the modulation matrix is invertible, ie.

$$orall z\in\mathbb{C}:|z|=1,\quad \Delta\left(z
ight)=H_{0}(z)H_{1}(-z)-H_{1}(z)H_{0}(-z)
eq0$$



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Filter banks and DWT Multiresolution analysis

Perfect reconstruction conditions

Synthesis filter bank If $\Delta(z) \neq 0$ = on the unit circle, then

$$F_{0}(z) = \frac{2z^{-\ell}}{\Delta(z)}H_{1}(-z)$$
$$F_{1}(z) = -\frac{2z^{-\ell}}{\Delta(z)}H_{0}(-z)$$

Question: if the analysis FB (AFB) is made up by FIR, how to impose that the synthesis FB (SFB) is FIR as well?

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Perfect reconstruction with FIR filters

In order to F_0 , F_1 be sum of powers, $\Delta(z)$ must be a single power of z. A sufficient condition is the alternating sign (AS) condition:

$$F_0(z) = H_1(-z)$$

 $F_1(z) = -H_0(-z)$

Exercise: find the relationships between the analysis and synthesis FB in the time domain; justify the name "AS". Exercise: show that AS implies AC.



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Filter banks and DWT Multiresolution analysis



- AS assures that, if AFB is FIR, SFB is FIR also, and AC is satisfied
- ▶ Still we need to find *H*₀ and *H*₁ such that ND is satisfied
- Typically we choose some structure for H₀ and H₁ and we use ND to derive the first from the second

• QMF:
$$H_1(z) = H_0(-z)$$

• CQF:
$$H_1(z) = -z^{-(N-1)}H_0(-z^{-1})$$

Biorthogonal



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Filter banks and DWT Multiresolution analysis



QMF and CQF are orthogonal, which assures energy conservation:

$$\sum_{k=-\infty}^{\infty} (x_k)^2 = \sum_{k=-\infty}^{\infty} (c_k)^2 + \sum_{k=-\infty}^{\infty} (d_k)^2$$

 \Rightarrow reconstruction error = quantization error on DWT coefficients For bi-orthogonal filters, the reconstruction errors is a weighted sum of the quantization errors on the DWT subbands, with suitable weights ω_i



Filter banks and DWT Multiresolution analysis

Vanishing moments

- Vanishing moments (VM) represent filter ability to reproduce polynomials: a filter with p VM can represent polynomials with degree < p</p>
- The High-pass filter will not respond to a polynomial input with degree < p</p>
- In this case all the signal information is preserved in the approximation signal (half the samples)
- A filter with p VM has at least 2p taps



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Filter banks and DWT Multiresolution analysis

Borders problem

- Filterbank properties such as we saw, are valid for infinite-size signals
- We are interested in finite support signals
- How to interpret the previous results for finite support signals?



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Filter banks and DWT Multiresolution analysis

Borders problem

- Filterbank properties such as we saw, are valid for infinite-size signals
- We are interested in finite support signals
- How to interpret the previous results for finite support signals?
- Zero padding would introduce a coefficient expansion
- Filtering an N-size signal with an M-size produces a signal with size N + M - 1





Filter banks and DWT Multiresolution analysis

Borders problem

- Filterbank properties such as we saw, are valid for infinite-size signals
- We are interested in finite support signals
- How to interpret the previous results for finite support signals?
- Zero padding would introduce a coefficient expansion
- Filtering an N-size signal with an M-size produces a signal with size N + M - 1
- Periodization?
- Symmetrization?

Introduction

DWT and MRA

Filter banks and DWT Multiresolution analysis

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Borders problem: Periodization

- A signal x of support N is considered as a periodic signal x of period N
- Filtering \tilde{x} with h_0 results into a periodic output \tilde{y}
- \tilde{y} has the same period N as \tilde{x}
- So we need to compute just N samples of \tilde{y}
- However, periodization introduces "jumps" in a regular signal



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Filter banks and DWT Multiresolution analysis

Borders problem: Periodization





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Borders problem: Symmetry

- Symmetrization before periodization reduces the impact on signal regularity
- But it doubles the number of coefficients...



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Borders problem: Symmetry

- Symmetrization before periodization reduces the impact on signal regularity
- But it doubles the number of coefficients...
- Unless the filters are symmetric, too
 - We use x as half-period of \tilde{x}_s
 - \tilde{x}_s has a period of 2N samples
 - Filtering \tilde{x}_s with h_0 , produces \tilde{y}_s
 - If h₀ is symmetric, ỹ_s is periodic and symmetric, with period 2N: we only need to compute N samples



Filter banks and DWT Multiresolution analysis

Borders problem: Symmetry





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Filter banks and DWT Multiresolution analysis

Haar filter



- Symmetric
- Orthogonal (Haar is both QMF and CQF)
- ► VM = 1
 - Only capable to represent piecewise constant signals



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Summary: perfect reconstruction and borders

- Convolution involves coefficient expansion
- Solution: circular convolution
 - Circular convolution allows to reconstruct an N-samples signal with N wavelet coefficients
 - The periodization generates borders discontinuities, i.e. spurious high frequencies coefficients that demand a lot of coding resources
- Solution: Symmetric periodization
 - No discontinuities
 - Does it double the coefficient number?
 - No, if the filter is symmetric!

Bad news: the only orthogonal symmetric FIR filter is Haar!



Filter banks and DWT Multiresolution analysis

Biorthogonal filters

Cohen-Daubechies-Fauveau filters

With biorthogonal filters, if h_0 has p VM and f_0 has \tilde{p} VM, the filter has at least $p + \tilde{p} - 1$ taps.

The CDF filters have the following properties:

- They are symmetric (linear phase)
- They maximize the VM for a given filter length
- They are close to orthogonality (weights ω_i are close to one)

They are by far the most popular in image compression



Filter banks and DWT Multiresolution analysis

DWT and MRA

A multiresolution analysis (MRA) is a set of subspaces V_j in $L^2(\mathbb{R})$ such that:

- **1**. $\forall j \in \mathbb{Z}, V_j \subset V_{j+1}$
- **2**. $\bigcap_{j} V_{j} = \{0\}$
- **3**. $\overline{\bigcup_j V_j} = L^2(\mathbb{R})$
- **4.** $f(t) \in V_j \Leftrightarrow f(2t) \in V_{j+1}$
- **5.** $f(t) \in V_0 \Leftrightarrow \forall k \in \mathbb{Z}, f(t-k) \in V_0$
- 6. $\exists \phi \in V_0 : \{\phi(t-k)\}_{k \in \mathbb{Z}}$ is a basis of V_0

 ϕ is called *father wavelet*.



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MRA and DWT

- The projection f_j of f into V_j is an approximation of f
- Increasing j, we increase the resolution
- The projection f_j of f into V_j converges to f:

$$f_j \rightarrow_j f$$

- MRA implies $\phi_{j,k} = 2^{j/2}\phi(2^jt k)$ generates a basis of V_j
- $\Delta f = f_{j+1} f_j$ are the details at level j
- The space of details is W_j

$$\blacktriangleright V_j \oplus W_j = V_{j+1}$$

$$\blacktriangleright V_j = V_0 \oplus W_0 \oplus W_1 \oplus \ldots \oplus W_{j-1}$$

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MRA and DWT

- Projection of f over V_0 : approximation
- Projection of f over W_j: details allowing to increase the resolution (pass from V_{j-1} to V_j
- The basis of W_j is generated by $\psi_{j,k}(t) = 2^j \psi(2^j t k)$
- $\psi(t)$ is the mother wavelet
- $\psi(t) = \sqrt{2} \sum_{k} b(k) \phi(2t k)$ (wavelet equation)
- $\phi(t) = \sqrt{2} \sum_{k} a(k) \phi(2t k)$ (dilation equation)
- c and d are related as CQF: $B(z) = -z^{-(N-1)}A(-z^{-1})$
- ► Thus ψ_{j,k}(t) are a basis for L²(ℝ) and the coefficients of f can be generated through a FB



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Filter banks and DWT Multiresolution analysis

1D Multiresolution analysis

Decomposition



Three levels wavelet decomposition structure



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Multiresolution Analysis 1D





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Reconstruction



Reconstruction from wavelet coefficients



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2D AMR

2D Filter banks for separable transform One decomposition level





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2D-DWT subbands: orientations



(A), (H), (V) and (D) respectively correspond to *approximation* coefficients, *horizontal*, *vertical* and *diagonal* detail coefficients.



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2D AMR: multiple levels



Three levels of separable 2D-AMR.



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2D-DWT subbands: orientations





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Example





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Example





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Example





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Example





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Example





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EZW JPEG 2000

Outline

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Discrete wavelet transform and multiresolution analysis

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Compression with DWT

Methods based on inter-scale dependencies:

- EZW (Embedded Zerotrees of Wavelet coefficients),
- SPIHT (Set Partitioning in Hierarchical Trees)
- Tree-based representation of dependencies
- Advantages: good exploitation of inter-scale dependencies, low complexity
- Disadvantage: no resolution scalability
- Methods not based on inter-scale dependencies
 - Explicit bit-rate allocation among subbands
 - Entropy coding of coefficients
 - Advantages: Good exploitation of intra-scale dependencies, random access, resolution scalability
 - Disadvantage: no exploitation of inter-scale dependencies



EZW JPEG 2000

Embedded Zerotrees of Wavelet coefficients

Main characteristics

- Quality scalability (i.e. progressive representation)
- Lossy-to-lossless coding
- Small complexity
- Rate-distortion performance much better than JPEG above all at small rates





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Progressive representation of DWT coefficients

- Each new coding bit must convey the maximum of information
- Each new coding bit must reduce as much as possible distortion

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- We first send the largest coefficients
- Problem: localization overhead



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Example: an image and its wavelet coefficients



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Images compression with wavelets

Progressive representation: subband order





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EZW Algorithm

- The subband scan order alone is not enough to assure that largest coefficients are sent first
- We need to localize the largest coefficients
- Without having to send explicit localization information
- Idea: to exploit the inter-band correlation to predict the position of non-significant coefficients
- If the prediction is correct we save many coding bits (for all the predicted coefficients)



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Images compression with wavelets

Zero-tree of wavelet coefficients





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EZW idea

- Auto-similarity : When a coefficient is small (below a threshold) it is probable that its descendants are small as well
- In this case we use a single coding symbol to represent the coefficient and all its descendants. If c and all its descendants are smaller than the threshold, c is called a zero-tree root
- ▶ With just one symbol, (ZT) we code (1 + 4 + 4² + ... + 4^{N-n}) coefficients
- The localization information is implicit in the significance information



EZW **JPEG 2000**

EZW algorithm

- 1. k = 0
- 2. $n = |\log_2(|c|_{\max})|$
- 3. $T_k = 2^n$
- 4. while (rate < available rate)
 - Dominant pass
 - Refining pass

$$T_{k+1} \leftarrow T_k/2$$

$$k \leftarrow k+1$$

$$k \leftarrow k+1$$

5. end while



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EZW JPEG 2000

Dominant pass

- For each coefficient *c* (in the scan order)
- If $|c| \ge T_n$, the coefficient is significant
 - ▶ If *c* > 0 we encode SP (Significant Positive)
 - If c < 0 we encode SN (Significant Negative)</p>
- If $|c| < T_n$, we compare all its descendants with the threshold
 - If no descendant is significant, c is coded as a zero-tree root (ZT)
 - Otherwise the coefficient is coded as Isolated Zero (IZ)



EZW JPEG 2000



- We encode a further bit for all significant coefficients
- This is equivalent to halve the quantization step



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Iteration and termination

- The k-th dominant pass allows to encode the k-th bit-plane
- A significant coefficient *c* is such that $2^k \le |c| < 2^{k+1}$
- For the next step we halve the threshold: it is equivalent to pass to the next bitplane
- Algorithm stops when
 - the bit budget is exhausted; or when
 - all the bitplanes have been coded



EZW JPEG 2000

EZW Algorithm: summary

- Bitplane coding: at the *k*-th pass, we encode the bitplane $\log_2 T_k$
- Progressive coding: each new bitplane allows refining the coefficients quantization
- Lossless coding of significance symbols
- Lossless-to-lossy coding: When an integer transform is used, and all the bitplanes are coded, the original image can be restored with zero distortion


EZW JPEG 2000

EZW Algorithm: Example

26	6	13	10	
-7	7	6	4	
4	-4	4	-3	
2	-2	-2	0	

$$T_0 = 2^{\lfloor \log_2 26 \rfloor} = 16$$



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EZW Algorithm: Example

26	6	13	10	
-7	7	6	4	
4	-4	4	-3	
2	-2	-2	0	

$$T_0 = 2^{\lfloor \log_2 26 \rfloor} = 16$$

Bitstream:

SP	ZR	ZR	ZR	1								
IZ	ZR	ZR	ZR	SP	SP	IZ	ΙZ	0	1	0		
SP	SN	SP	SP	SP	SP	SN	ΙZ	ΙZ	SP	ΙZ	ΙZ	ΙZ



EZW JPEG 2000

JPEG2000

- ▶ JPEG2000 aims at challenges unresolved by previous standards:
- ▶ Low bit-rate coding: JPEG has low quality for *R* < 0.25 bpp
- Synthetic images compression
- Random access to image parts
- Quality and resolution scalability





EZW JPEG 2000

New functionalities

- Region-of-interest (ROI) coding
- Quality and resolution scalability
- Tiling
- Exact coding rate
- Lossy-to-lossless coding





EZW JPEG 2000

Algorithm

JPEG2000 is made up of two tiers

- First tier
 - DWT and quantization
 - Lossless coding of codeblocks
- Second tier
 - EBCOT: embedded block coding with optimized truncation
 - Scalability (quality, resolution) and ROI management



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Quantization in JPEG2000

- DWT coefficients are encoded with a very fine quantization step
- For the lossless coding case, DWT coefficients are integers, and they are not quantified
- In summary, it is not in the quantization step that the really lossy operations are performed
- The lossy coding is performed by the bitstream truncation of Tier 2



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EBCOT

Embedded Block Coding with Optimized Truncation

- Each subband is split in equally sized blocks of coefficients, called codeblocks
- The codeblocks are losslessly and independently coded with an arithmetic coder
- We generate as much bitstreams as codeblocks in the image



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Bitplane coding

Most significant bitplane





EZW JPEG 2000

Bitplane coding

Second bitplane





EZW JPEG 2000

Bitplane coding

Third bitplane







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Bitplane coding

Fourth bitplane







EZW JPEG 2000

Bitplane coding

Fifth bitplane







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Example of bitstreams associated to codeblocks



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EBCOT

Optimization

- If we keep all the bitstreams of all the codeblocks, we end up with a huge bitrate
- We have to truncate the bitstream to attain the target bit-rate
- Problem: how to truncate the bitstreams with a minimum resulting distortion?

min
$$\sum_{i} D_{i}$$
 subject to

 $\sum_{i} R_{i} \leq R_{\text{tot}}$

Solution : Lagrange multiplier

$$J = \sum_{i} D_{i} + \lambda \left(\sum_{i} R_{i} - R \right)$$



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EBCOT

Rate-distortion curve per each codeblock







EZW JPEG 2000

EBCOT

Rate-distortion curve per each codeblock





EZW JPEG 2000



Embedded block coding with optimized truncation



$$\frac{\partial D_i}{\partial R_i} = -\lambda$$

- The value of the Lagrange multiplier can be find by an iterative algorithm.
- We can have several truncations for several target rates (quality scalability)



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Example of bit-rate allocation with EBCOT

Allocation for maximal quality and minimal resolution





EZW JPEG 2000

Example of bit-rate allocation with EBCOT

Allocation for maximal quality and medium resolution





EZW JPEG 2000

Example of bit-rate allocation with EBCOT

Allocation for maximal quality and maximal resolution





EZW JPEG 2000

Example of bit-rate allocation with EBCOT

Allocation for perceptual quality and maximal resolution





EZW JPEG 2000

Example of bit-rate allocation with EBCOT

Allocation for a given bit-rate, maximal quality and resolution





EZW JPEG 2000

Example of bit-rate allocation with EBCOT

Allocation pour several layers and maximal resolution





EZW JPEG 2000



Comparison JPEG / JPEG2000



Image Originale, 24 bpp



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Comparison JPEG / JPEG2000

Rate: 1bpp





EZW JPEG 2000

Comparison JPEG / JPEG2000

Rate: 0.75bpp





EZW JPEG 2000

Comparison JPEG / JPEG2000

Rate: 0.5bpp





EZW JPEG 2000

Comparison JPEG / JPEG2000

Rate: 0.3bpp





EZW JPEG 2000

Comparison JPEG / JPEG2000

Rate: 0.2bpp





EZW JPEG 2000

Comparison JPEG / JPEG2000

Rate: 0.2bpp pour JPEG, 0.1 pour JPEG2000





EZW JPEG 2000

Error effect: JPEG

JPEG, $p_E = 10^{-4}$



JPEG, $p_E = 10^{-4}$



EZW JPEG 2000

Error effect: JPEG and JPEG 2000







JPEG 2000, $p_E = 10^{-4}$



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Error effect: JPEG and JPEG 2000







JPEG 2000, $p_E = 10^{-3}$



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Image coding and robustness

- Markers insertion
- Markers period
- Marker emulation prevention
- Trade-off between robustness and rate





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Error robustness in JPEG2000

- Data priorization is possible
- No dependency among codeblocks
 - No error propagation
- No block-based transform
 - No blocking artifacts

